



Attorney Docket: JA992-011(8728-231)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Suzuki et al.

Serial No.: 09/241,989

Filed: February 2, 1999

For: OPTICAL FILM AND LIQUID CRYSTAL DISPLAY  
DEVICE USING THE FILM

#4/IDS  
11/16/99  
V. Vannal

Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. Copies of the listed items are enclosed.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped,

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231 on October 29, 1999.

Dated: October 29, 1999

  
Frank Chau

however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

- ☐ This information disclosure statement is being filed within three (3) months of the filing date of this application.
- ☐ This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application.
- ☐ To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.

[ ] Statement under 37 C.F.R. § 1.97(e):

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement.

[ ] Enclosed herewith is a petition under 37 C.F.R. § 1.97(d)(ii).

[ ] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. § 1.17(i)(1))

[ ] Please charge the \$130.00 petition fee to Deposit Account No. 50-0510/IBM (Yorktown Heights).

[ ] Enclosed by check is the \$240.00 fee required by 37 C.F.R. § 1.17(p).


[X] Please charge the \$240.00 fee required by 37 C.F.R. § 1.17(p) to Deposit Account No. 50-0510/IBM.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 50-0510/IBM. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

By:

  
\_\_\_\_\_  
Frank Chau  
Reg. No. 34,136  
Attorney for Applicant(s)

F. CHAU & ASSOCIATES, LLP  
1900 Hempstead Turnpike, Suite 501  
East Meadow, New York 11554  
Tel.: (516) 357-0091  
Fax: (516) 357-0092  
FC:pg